



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan

UAN: 111-001-122 Website: www.psx.com.pk

PSX/N-1469

November 18, 2019

NOTICE FOR ALL MARKET PARTICIPANTS

SWITCHING-OFF OF TRADING TERMINALS OF TRE CERTIFICATE HOLDER OF PSX

Attention of all concerned is invited to Clause 4.27(a) of PSX Regulations which is reproduced hereunder:

“Every Broker shall submit:

Hardcopies of its annual financial statements along with audit report to the Exchange within four months after the end of each financial year.”

All Market Participants are hereby informed that **M/s. Xpert Securities Ltd.** has not submitted its Audited Annual Financial Statements for the year ended June 30, 2019.

Consequently, and in compliance with Clause 20.10 of PSX Regulations, the Exchange has decided to switch off the trading terminals of the aforementioned TRE Certificate Holder **with immediate effect** until further notice. The said TRE Certificate Holder shall only be allowed to close out its open positions in a controlled environment upon written request.

All concerned are requested to note the above.


M. ABBAS MIRZA
Acting Chief Regulatory Officer

Copy to:

1. Concerned TRE Certificate Holder
2. The Executive Director / HoD, Broker Compliance Department, SECP
3. The Executive Director/ HoD, PRDD and Adjudication, (SMD) SECP
4. The Acting Chief Executive Officer, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. The Regional Heads – ISB & LHR, PSX
10. All TRE Certificate Holders
11. PSX Website, Notice Board and Offices (ISB & LHR)