REMINDER NOTICE

PAKISTAN STOCK EXCHANGE

PSX/N-969 **August 05, 2026**

FOR ALL RELEVANT SECURITIES BROKERS

MANDATORY SUBMISSION OF CLIENTS' ASSETS SEGREGATION STATEMENT DULY VERIFIED BY THE STATUTORY AUDITOR

Attention is drawn to PSX Regulation 4.17.5, which requires Securities Brokers, excluding Trading Only and Online Only Securities Brokers, to submit annual Clients' Assets Segregation Statement (**CASS**) duly verified by their statutory auditor within forty-five (45) days of the close of their financial year.

In view of the above, all relevant Securities Brokers, whose financial year end is June 30, 2026, are required to submit the annual CASS, duly verified by their statutory auditor, latest by **August 17, 2026**. Failure to submit the required annual verified CASS within stipulated timeframe shall trigger the enforcement action(s) under PSX Regulation 20.8.3, which includes switching-off of trading terminals.

Accordingly, all relevant Securities Brokers are advised to note the above for compliance.

AJEET KUMAR
Chief Regulatory Officer

Cc:

1. The Executive Director, Supervision Division, SECP
2. The Director/HoD, Supervision Division -Onsite-I Dept., SECP
3. The Director/HoD, PRDD, (SMD), SECP
4. The Chief Executive Officer, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Chief Executive Officer, EClear
8. The Chief Executive Officer, PMEX
9. The Chief Executive Officer, IFMP
10. The Chief Executive Officer, Secretary General, PSBA
11. The President and Chief Executive Officer, PICG