



Securities and Exchange Commission of Pakistan
Appellate Bench Registry

No. 26(33) B-II/SCD/18

Courier
6th July 2018:

Mr. Muhammad Ali Raza,
Raza Khalil, Abbas Hafeez Suhrawardy,
2nd Floor, Building No.3, Shahbaz Centre,
Shahra-e-Suhrawardy,
G-6/1, Islamabad.

Registration of Appeal u/s. 33 of SECP Act 1997

Dear Sir,

The appeal titled M/s First Dawood Investment Bank Ltd versus Commissioner (SCD), SECP against Order dated 24/05/18 under section 282 (1) read with Section 282 J(1) & Section 282 J(1, 2 & 3) of Companies Ordinance 1984, has been registered as Appeal No. 26 of 2018.

Kindly note that you will be informed as and when the appeal is fixed for hearing before Appellate Bench.

We shall be pleased to provide you any further assistance in this regard, if required.

Regards,

(Adnan Naseer)

Management Executive - Appellate Bench

Securities and Exchange Commission of Pakistan
2nd Floor, NIC Building, Jinnah Avenue, Blue Area, Islamabad
Voice (Direct): +92(51)9100414, UAN: 111 117 327, Fax No. 9100413,
Email: Appellate.bench@secp.gov.pk



Securities and Exchange Commission of Pakistan Appellate Bench Registry

No. 26(33) B-II/SCD/18

Courier / Delivered by Hand
6th July 2018

Executive Director (SCD)
Securities and Exchange Commission of Pakistan,
Islamabad.

Appeal No. 26 of 2018 before the Appellate Bench of the Commission

M/s First Dawood Investment Bank Ltd versus Commissioner (SCD), Securities and Exchange Commission of Pakistan.

Dear Sir,

Please take notice that the above titled Appeal filed under section 33 of the Securities & Exchange Commission of Pakistan Act 1997 has been registered. Commissioner (SCD) has been cited as Respondent in the said appeal. A copy of the Memorandum of appeal and paper book is enclosed herewith.

You are required in terms of Rule 15(3) of the Securities & Exchange Commission of Pakistan (Appellate Bench Procedure) Rules 2003 (the Rules) to furnish our written reply/comments on the subject Appeal.

Your reply written reply/comments on the subject Appeal duly verified in the manner provided in the form annexed to the Rules should reach Registrar Appellate Bench within 10 days from the date hereof and a copy to be served on the Appellant/Appellant's Counsel or Authorized Representative within the said period.

(Adnan Naseer)

Management Executive - Appellate Bench

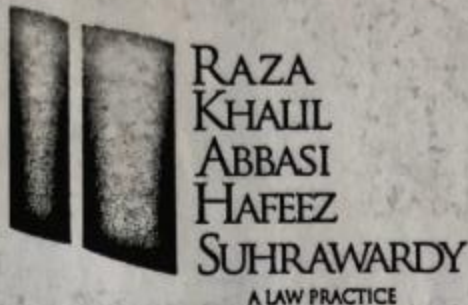
cc:

Appellant's representative:

Mr. Muhammad Ali Raza,
Raza Khalil, Abbas Hafeez Suhrawardy,
2nd Floor, Building No.3, Shahbaz Centre,
Shahra-e-Suhrawardy,
G-6/1, Islamabad.

If the reply of the Respondent is not received by the Appellant within 15 days from the date hereof, he is advised to initiate this fact accordingly.

Securities and Exchange Commission of Pakistan
2nd Floor, NIC Building, Jinnah Avenue, Blue Area, Islamabad
Voice (Direct): +92(51)9100414, UAN: 111 117 327, Fax No. 9100413,
Email: Appellate.bench@secp.gov.pk



Registrar
Securities & Exchange Commission of Pakistan
63 Jinnah Avenue
NICL Building
Islamabad

Date: 22.6.2018

Dear Sir/Madam,

Subject: Appeal Under Section 33 Of The Securities and Exchange Commission Act 1997 (XLII of 1997) Read With Section 282 J (1), J (2) & J (3) of the Companies Ordinance, Against Order dated 25.5.2018 in Show Cause Notice No. SC/NBFC-5/FDIBL/49/2017

Please find enclosed herewith four copies of Appeal titled *First Dawood Investment Bank Limited v Commissioner - SCD (Specialized Companies Division), SECP* against Order dated 25.5.2018 in Show Cause Notice No. SC/NBFC-5/FDIBL/49/2017. You are requested to register the enclosed Appeal and forward it to the Honourable Appellate Bench of the Securities & Exchange Commission, Pakistan in accordance with The Securities & Exchange Commission of Pakistan (Appellate Bench Procedure) Rules 2003.

Yours faithfully,

For and on behalf of
First Dawood Investment Bank Limited
18th Floor, B.R.R. Tower
Hassan Ali Street
Off: I.I. Chundrigar Road
Karachi - 74000



ISLAMABAD
2nd FLOOR, BLOCK/BUILDING NO.3,
SHAHBAZ CENTRE, SHAHRA-E-SOHAWARDI,
G-6/1, ISLAMABAD, PAKISTAN
TEL: +92-51-2624792-4

WEB: WWW.RKALAW.CO

LAHORE
2nd FLOOR, E PLOMER BUILDING,
26 THE MALL, LAHORE,
PAKISTAN.
TEL: +92 42 37313090

PESHAWAR
OFFICE NO. 35 C, 2ND FLOOR,
CANTONMENT PLAZA,
SADDAR ROAD,
PESHAWAR CANTONMENT,
PESHAWAR, PAKISTAN.

KARACHI
#C-27/1 OPP. CHINA CONSULATE
BLOCK 4, CLIFTON, KARACHI
PAKISTAN
TEL: +92 21 35876526