

## DAWOOD EQUITIES LIMITED

TREC HOLDER PAKISTAN STOCK EXCHANGE LIMITED - TREC NO. 058
MEMBER OF PAKISTAN MERCANTILE EXCHANGE - PMEX CODE 195

Date: September 30, 2021

The Deputy General Manager Companies Affairs Department Pakistan Stock Exchange Ltd. Stock Exchange Building Stock Exchange Road Karachi- 74000

## SUBJECT: APPLICATION FOR OBTAINING LICENSE AS UNDERWRITER

Dear Sir,

In accordance with Section 96 and 131 of the Securities Act, 2015 and Clause 5.6.1(a) of PSX Regulations, it is convey that M/s. Dawood Equities Limited ("Company") has submitted an application with Securities & Exchange Commission of Pakistan for obtaining license to undertake underwriting business under the Public Offering (Regulated Securities Activities Licensing) Regulation 2017.

Disclosure form in term of Section 96 and 131 of the Securities Act, 2015 is attached for information.

You may please inform the TRE Certificate Holders of the Exchange accordingly.

Yours faithfully,

For Dawood Equities Limited

Salman Yaqoob

Chief Financial Officer

& Company Secretary

CC:

The Director/ Head of Department
Surveillance, Supervision and Enforcement Department
Securities & Exchange Commission of Pakistan
NIC Building, Jinnah Avenue, Islamabad-44000, Pakistan



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## **SCHEDULE** DISCLOSURE FORM

Date: September 30, 2021

Name of Company

: Dawood Equities Limited

Registered Office

:  $17^{\text{th}}$  Floor Saima Trade Tower – A , I.I Chundrigar Road, Karachi

Date of Report

: September 30, 2021

**Contact Information** 

: Chief Financial Officer & Company Secretary

Telephone No.: 021-32275200

Email Address: salman@dawoodequities.com

Disclosure of inside information by listed company in terms of Section 96 and 131 of the Securities Act, 2015.

"M/s. Dawood Equities Limited ("Company") has submitted an application with Securities & Exchange Commission of Pakistan for obtaining license to undertake underwriting business under the Public Offering (Regulated Securities Activities Licensing) Regulation 2017".

For Dawood Equities Limited

Salman Yaqoob

Chief Financial Officer

& Company Secretary