



FORM-25

May 23, 2022

The General Manager
Pakistan Stock Exchange Limited
Stock Exchange Building
Stock Exchange Karachi.

Subject: Material Information Regarding Renewal of Licenses to Carry Out Asset Management Services and Investment Advisory Services

Dear Sir,

In accordance with section 96 of the Securities Act, 2015 and Clause 5.6.1(a) of PSX Regulations, we hereby convey the following information.

We are pleased to inform you that the Securities and Exchange Commission of Pakistan (SECP) by their vide letters no: SCD/AMCW/786IL/2022-336 & SCD/AMCW/786IL/2022-335 renewed the following licenses of 786 Investments Limited w.e.f from May 26, 2022, for a period of three years under the sub-rule of (9) of Rule of 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003.

1. To carry out Asset Management Services
2. To carry out Investment Advisory Services

Yours Sincerely,

Noman Shakir
Company Secretary

786 Investments Limited

G-3 Ground Floor, B.R.R. Tower, Hassan Ali Street, Off I.I.Chundrigar Road, Karachi 74000 Pakistan
Tel: + 922132603751-54 www.786investments.com



SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN
SPECIALIZED COMPANIES DIVISION
POLICY, REGULATION AND DEVELOPMENT DEPARTMENT

No. SCD/AMCW/786IL/2022 - 336

May 13, 2022

Mr. Noman Shakir
Company Secretary
786 Investments Limited
G-3, Ground Floor, BRR Tower
Hassan Ali Street, Off. I.I. Chundrigar Road
Karachi-74000

Subject: Renewal of License of 786 Investments Limited to Carry Out Asset Management Services

Dear Sir,

Please find enclosed herewith the license to carry out Asset Management Services as Non-Banking Microfinance Company in favour of 786 Investments Limited under sub- rule (9) of Rule 5 of the Non-Banking Finance Company (Establishment & Regulation) Rule 2003.

Kindly acknowledge receipt.

Regards,

Rizwan-Ul-Haq
Additional Joint Director



Securities and Exchange Commission of Pakistan
Specialized Companies Division
Policy, Regulation and Development Department

Licence No. SCD/AMCW/30786IL/AMS/04/2022

Islamabad, May 12, 2022

**LICENCE TO CARRY OUT
ASSET MANAGEMENT SERVICES
AS NON-BANKING FINANCE COMPANY**

The Securities and Exchange Commission of Pakistan, having considered the application for the renewal of license to carry out **Asset Management Services** submitted by **786 Investments Limited** under rule 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003 (the "Rules"), and being satisfied that it would be in the public interest so to do, in exercise of powers conferred by sub-rule (9) of rule 5 of the Rules, hereby renews the license of **786 Investments Limited** to carry out **Asset Management Services** subject to the conditions stated herein below or as may be prescribed or imposed hereafter:

- (i) **786 Investments Limited** shall comply with the Companies Ordinance, 1984, the Companies Act, 2017, the Securities Act, 2015, the Rules (including all conditions under Rule 5(6) of the Rules), the Non-Banking Finance Companies and Notified Entities Regulations, 2008 (as amended or replaced) and any directives, circulars, codes, notifications and guidelines issued by the Commission;
- (ii) **786 Investments Limited** shall submit annual, half yearly, quarterly or such other reports as specified in the applicable laws;
- (iii) **786 Investments Limited** shall comply with all the conditions including any additional requirements that the Commission has imposed or may impose from time to time; and
- (iv) This license is valid for a period of three years w.e.f. May 26, 2022 and shall be renewable every three years as specified in the Rules.

(Khalida Habib)
Executive Director



SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN
SPECIALIZED COMPANIES DIVISION
POLICY, REGULATION AND DEVELOPMENT DEPARTMENT

No. SCD/AMCW/786IL/2022 - 335

May 13, 2022

Mr. Noman Shakir
Company Secretary
786 Investments Limited
G-3, Ground Floor, BRR Tower
Hassan Ali Street, Off. I.I. Chundrigar Road
Karachi-74000

Subject: Renewal of License of 786 Investments Limited to Carry Out Investment Advisory Services

Dear Sir,

Please find enclosed herewith the license to carry out Investment Advisory Services as Non-Banking Microfinance Company in favour of 786 Investments Limited under sub- rule (9) of Rule 5 of the Non-Banking Finance Company (Establishment & Regulation) Rule 2003.

Kindly acknowledge receipt.

Regards,

Rizwan-Ul-Haq
Additional Joint Director



Securities and Exchange Commission of Pakistan
Specialized Companies Division
Policy, Regulation and Development Department

Licence No. SCD/AMCW/37/786IL/IAS/07/2022

Islamabad, May 12, 2022

**LICENCE TO CARRY OUT
INVESTMENT ADVISORY SERVICES
AS NON-BANKING FINANCE COMPANY**

The Securities and Exchange Commission of Pakistan, having considered the application for the renewal of license to carry out **Investment Advisory Services** submitted by **786 Investments Limited** under rule 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003 (the "Rules"), and being satisfied that it would be in the public interest so to do, in exercise of powers conferred by sub-rule (9) of rule 5 of the Rules, hereby renews the license of **786 Investments Limited** to carry out **Investment Advisory Services** subject to the conditions stated herein below or as may be prescribed or imposed hereafter:

- (i) **786 Investments Limited** shall comply with the Companies Ordinance, 1984, the Companies Act, 2017, the Securities Act, 2015, the Rules (including all conditions under Rule 5(6) of the Rules), the Non-Banking Finance Companies and Notified Entities Regulations, 2008 (as amended or replaced) and any directives, circulars, codes, notifications and guidelines issued by the Commission;
- (ii) **786 Investments Limited** shall submit annual, half yearly, quarterly or such other reports as specified in the applicable laws;
- (iii) **786 Investments Limited** shall comply with all the conditions including any additional requirements that the Commission has imposed or may impose from time to time; and
- (iv) This license is valid for a period of three years w.e.f. May 26, 2022 and shall be renewable every three years as specified in the Rules.

(Khalida Habib)
Executive Director