

July 8, 2025

The General Manager Pakistan Stock Exchange Limited Stock Exchange Building Stock Exchange Karachi.

Subject:

Material Information Regarding Renewal of Licenses to Carry Out Asset

**Management Services and Investment Advisory Services** 

Dear Sir,

In accordance with section 96 of the Securities Act, 2015 and Clause 5.6.1(a) of PSX Regulations, we hereby convey the following information.

We are pleased to inform you that the Securities and Exchange Commission of Pakistan (SECP) by their vide letters no: SECP/LRD/LD/17/AMCW/786IL/2022-153 renewed the following licenses of 786 Investments Limited w.e.f from May 26, 2025, for a period of three years under the sub-rule of (9) of Rule of 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003.

- 1. To carry out Asset Management Services
- 2. To carry out Investment Advisory Services

Yours Sincerely

Noman Shakir Company Secretary



# SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN LICENSING & REGISTRATION DIVISION LICENSING DEPARTMENT

No. SECP/LRD/LD/17/AMCW/786IL/2022-153

July 04, 2025

The Chief Executive, 786 Investments Limited G3, Ground floor, BRR tower, Hassan Ali Street, Off I.I. Chundrigarh Road Karachi. Tel:- 0312-2029007

Subject: <u>Application for Renewal of License in the Matter of 786 Investments</u> Limited.

Dear Sir:

This is with reference to your application with respect to Company namely 786 Investments Limited and subsequent correspondence concerning the subject matter.

- 2. Please find enclosed the updated license, issued under Rule 5 of the NBFC Rules, 2003, for undertaking the business of Asset Management Services and Investment Advisory Services, both dated Julys 3, 2025.
- 5. Please acknowledge receipt.

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Regards

(Zohab Kaleem) Deputy Director

Enclosed: As above.

## SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN



Licence No. SECP/LRD/LD/17/AMCW/786IL/2022

July 3, 2025

#### RENEWAL OF LICENSE TO

#### M/S. 786 INVESTMENTS LIMITED

#### TO CARRY OUT ASSET MANAGEMENT SERVICES

## AS NON-BANKING FINANCE COMPANY

having considered its application for the renewal of license to carry out Asset Management Services, under subrule (9) of rule 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003 (the "Rules"), and being satisfied that it would be in the public interest so to do, subject to the conditions stated herein below or as may be prescribed or imposed hereafter:

- (i) 786 Investments Limited shall comply with Part VIII of the Companies Ordinance, 1984, the Companies Act, 2017, the Securities Act, 2015, the Rules, the Non-Banking Finance Companies and Notified Entities Regulations, 2008 (as amended or replaced) and any directives, circulars, codes, notifications and guidelines issued by the Commission;
- (ii) 786 Investments Limited shall submit annual, half yearly, quarterly or such other reports as specified in the applicable laws; and

This license is valid for a period of three years w.e.f. May 26, 2025 and shall be renewable every three years as specified in the Rules.

Najia Ubaid
Additional Director
Head of Department
(Licensing and Registration Division)



## SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN



Licence No. SECP/LRD/LD/17/AMCW/786IL/2022

July 3, 2025

## RENEWAL OF LICENSE TO

### M/S. 786 INVESTMENTS LIMITED

#### TO CARRY OUT INVESTMENT ADVISORY SERVICES

## AS NON-BANKING FINANCE COMPANY

having considered its application for the renewal of license to carry out Investment Advisory Services, under sub-rule (9) of rule 5 of the Non-Banking Finance Companies (Establishment and Regulation) Rules, 2003 (the "Rules"), and being satisfied that it would be in the public interest so to do, subject to the conditions stated herein below or as may be prescribed or imposed hereafter:

- (i) 786 Investments Limited shall comply with the Part VIIIA of the Companies Ordinance, 1984 along with all related or connected provisions of the Companies Act, 2017, the Securities Act, 2015, the Rules, the Private Funds Regulations, 2015 and any directives, circulars, codes, notifications and guidelines issued by the Commission;
- (ii) 786 Investments Limited shall submit annual, half yearly, quarterly or such other reports as specified in the applicable laws; and

This license is valid for a period of three years w.e.f. May 26, 2025 and shall be renewable every three years as specified in the Rules.

Najia Uhaid
Additional Director
Head of Department
(Licensing and Registration Division)

